



In Place of FORM PTO-1449 (Modified)

Serial No.: 10/033,092
Applicants: Richard E. Smalley et al.
Filing Date: December 28, 2001
Group: 1754
Atty. Docket No.: 11321-P012USD4

**UNIT OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
530 AAA	5,698,175	12/16/1997	Hiura et al.	423	447.1	
ABA						
ACA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ADA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Initial

518 AEA

AJAYAN et al., "Opening carbon nanotubes with oxygen and implications for filling," *Nature*, Volume 362, pp. 522-525 (April 8, 1993).

Examiner:

Nonbicks

Date Considered:

4/2/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Serial No.: 10053,092
 Applicants: Richard E. Smalley et al
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LIST OF PATENTS AND PUBLICATIONS FOR
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ABA	EP 1 176 234 A2	12/05/1993	European			

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